



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Ian Kenneth Shepherd

Appl. No.: 10/615,796

Filed: July 10, 2003

For: **Methods and Apparatus Relating to
the Formulation and Trading of
Investment Contracts**

Confirmation No.: 1916

Art Unit: 3624

Examiner: To be assigned

Atty. Docket: 1033.0180002

Request for Corrected Official Filing Receipt

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Attn: Office of Initial Patent
Examination's Filing
Receipt Corrections

Sir:

Applicant hereby requests that a corrected Official Filing Receipt be issued and sent to the undersigned representative. Specifically, the following corrections to the Official Filing Receipt are requested:

**In the Domestic Priority section, add the following as the last line --which is a 371 of
PCT/AU96/00420, filed 7/5/1996--**

In support of the above request, a photocopy of the instant Official Filing Receipt is enclosed with the corrections noted in red.

It is requested that a corrected Official Filing Receipt be issued, and sent to the undersigned at the earliest possible time.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Jeffrey S. Weaver
Attorney for Applicant
Registration No. 45,608

Date: April 26, 2004
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UNITED STATES PATENT AND TRADEMARK OFFICE

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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/615,796	07/10/2003	3624	750	1033.0180002	6	1	1

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CONFIRMATION NO. 1916
CORRECTED FILING RECEIPT



OC000000011799666

Date Mailed: 01/30/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Ian Kenneth Shepherd, Toorak, AUSTRALIA

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Domestic Priority data as claimed by applicant

This application is a CON of 09/667,423 09/21/2000 PAT 6,622,130
which is a CON of 09/000,264 05/15/1998 PAT 6,157,918
which is a 371 of PCT/AU96/00420, filed 7/5/1996

Foreign Applications

AUSTRALIA PN 4060 07/07/1995
AUSTRALIA PN 9636 05/02/1996

If Required, Foreign Filing License Granted: 10/03/2003

Projected Publication Date: 03/25/2004

Non-Publication Request: No

Early Publication Request: No

Title

Methods and apparatus relating to the formulation and trading of investment contracts

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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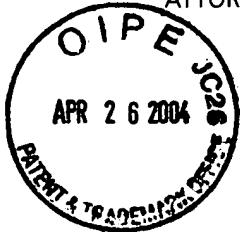
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* Admitted only in Maryland
+ Admitted only in Virginia
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Federal Agencies

April 26, 2004

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***Office of Initial Patent Examination's
Filing Receipt Corrections***

Re: U.S. Utility Patent Application
Application No. 10/615,796; Filed: July 10, 2003
For: **Methods and Apparatus Relating to the Formulation and Trading of
Investment Contracts**

Inventor: Ian Kenneth Shepherd
Our Ref: 1033.0180002

Sir:

Transmitted herewith for appropriate action are the following documents:

1. Second Supplemental Preliminary Amendment;
2. Revised Application Data Sheet;
3. Request for Corrected Filing Receipt; and
4. Copy of the Filing Receipt with corrections noted in red.

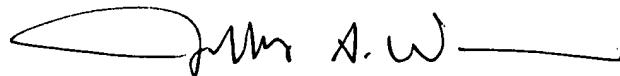
It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Commissioner for Patents
April 26, 2004
Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



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